

 <div style="text-align: center;"> Financial Assistance Award DENALI COMMISSION 510 L Street, Suite 410 Anchorage, Alaska 99501 (907) 271-1414 (phone) (907) 271-1415 (fax) www.denali.gov </div>		Award Number	01501-00		
		Award Title	ANTHC Grant Center for Environmentally Threatened Communities		
		Performance Period	October 1, 2016 through February 28, 2018		
Authority 112 Stat 1854	CFDA Number 90.100	<u>Recipient Organization & Address</u> Alaska Native Tribal Health Consortium 4000 Ambassador Dr Alaska Native Tribal Health Consortium Phone: 907-729-2923 Recipient DUNS # 071375658 TIN # 920162721			
Denali Commission Finance Officer Certification					
<u>Cost Share Distribution Table</u>					
Accounting Code	New Funding		Prior Period Funding		Total
	Denali Commission	Other Contributors	Denali Commission	Other Contributors	
95670000	\$320,000.00		\$0.00		\$320,000.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
Total	\$320,000.00	\$0.00	\$0.00	\$0.00	\$320,000.00
This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission constitutes an obligation of federal funding.					
Signature of Authorized Official - Denali Commission Electronically Signed		Typed Name and Title Mr. Jay Farmwald Director of Programs		Date 09/26/2016	

AWARD ATTACHMENTS

Alaska Native Tribal Health Consortium

01501-00

1. Terms and Conditions
2. Attachment A

Financial Assistance Award Terms and Conditions
Between the Denali Commission and the Alaska Native Tribal Health Consortium
For Environmentally Threatened Communities (ETC) Grant Center
Award No. 1501

1. Project Summary

- a. Scope of Work: Provide grant support to rural Alaskan communities experiencing infrastructure impacts associated with environmental threats.
- b. Deliverables: Two full time Grant Writers, monthly newsletter, grant management training for 31 community grant specialists.
- c. Budget: The Commission is making \$ 320,000 available for the project via this Financial Assistance Award (FAA). This amount includes all direct and indirect costs. All Commission funding is intended to be used for the scope of work identified in this FAA only. Any funds remaining after the full scope of work has been completed shall be returned to the Denali Commission consistent with 2 CFR 200.319.
- d. Delivery Method: The Alaska Native Tribal Health Consortium (ANTHC) shall hire two full time Grant Writers to carry out the tasks defined in the FAA. In addition, ANTHC will subcontract with a content specialist to conduct the training.
- e. Performance Period: The Period of Performance for this FAA is October 1, 2016 through February 28, 2018. In accordance with 2 CFR 200.309 Recipients can only incur obligations or costs against this FAA during the Period of Performance, unless specifically authorized in the Special Provisions (Section 14 of this document). If a project cannot be completed within the approved Period of Performance, an extension request must be made in accordance with the Commission's *Recipient Guidelines and Requirements* (RGR) document dated July 2015, available at www.denali.gov.

More detailed information on scope, deliverables, budget, funding, project delivery method and/or management plan, schedule and key milestones are included in Attachment A dated September 9, 2016.

2. Project Reporting and Commission Site Visits

Progress reports and a close-out report are required under this FAA. Progress Reports shall be submitted at the frequency stipulated in the Special Provisions. The Closeout Report shall be completed within 90-days of the end of the Period of Performance. All reports must be submitted using the Denali Commission's on-line Project Database System, available at www.denali.gov/dcpdb.

Commission staff or agents of the Commission may make visits to the project site and/or home office to monitor progress during and/or after the Period of Performance. The Recipient shall coordinate and make information available as necessary to facilitate any such site visits.

Refer to the *Recipient Guidelines and Requirements* document for further information related to reports and site visits.

3. Payments

Payments under this FAA will be made in accordance with 2 CFR 200.305 by electronic transfer in response to a Standard Form 270 (SF-270) "Request for Advance or Reimbursement", submitted by the Recipient. At a minimum, all 270's must include summary cost information on labor, materials, contracts/consultants, and indirect costs. Detailed documentation is required for any single expenditure greater than \$50,000. If Pre-award Costs and/or Advance Payments are authorized under this FAA, the Special Provisions will indicate so. Requests for reimbursements may be made as needed. Refer to the *Recipient Guidelines and Requirements* document for further information about submitting SF-270's.

4. Modifications

In accordance with 2 CFR 200.308, the Recipient shall report deviations in project scope, budget, delivery method, management plan, schedule, or changed site conditions, and request prior approvals from the Program Manager. The Recipient shall also submit written requests to the Program Manager for the replacement of Key Staff identified in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information about modifying a Financial Assistance Award.

5. Financial Management, Record Keeping, Internal Controls, and Audits

The Recipient must have financial management and record keeping systems that are consistent with 2 CFR 200.302. If the Recipient is a State organization, it must expend and account for FAA funds in accordance with applicable State laws and procedures for expending and accounting for the State's own funds.

The Recipient must establish and maintain effective internal controls with respect to this FAA that are consistent with 2 CFR 200.303.

In accordance with 2 CFR 200.501, Recipients that expend \$750,000 or more of federal funds in a year shall have a single or program-specific audit conducted for that year.

Refer to the *Recipient Guidelines and Requirements* document for further information about financial management, record keeping, internal controls and audits.

6. Direct and Indirect Costs

All direct costs must be allowable and reasonable. Indirect costs must be allocable based on accepted accounting policies and practices. Indirect costs must be specifically included as a line item or identified with appropriate notes in the approved FAA budget. Refer to 2 CFR 200 Subpart E (Cost Principles) and the *Recipient Guidelines and Requirements* document for further information on direct and indirect costs.

7. Sub-Awards and Contracts

All sub-awards and contracts issued by the Recipient under this FAA must comply with 2 CFR 200.331 and Appendix II to 2 CFR 200. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

8. Acknowledgement of Support

The Recipient shall name the Denali Commission as a financial contributor and project/program partner in all media correspondence related to the work supported by this FAA. If Commission funds are used for construction, the Recipient shall also display a sign at the construction site that acknowledges the Commission's support. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

9. Real and Personal Property

In accordance with 2 CFR 200.311, 313, and 316 the Denali Commission may require the Recipient to execute a security interest or other public notice of record to indicate that real or personal property acquired or improved, in whole or in part, with Federal funds is subject to a Federal interest. Any such requirements under this FAA, including post project reporting in accordance with 2 CFR 200.329, will be stipulated in the Special Provisions.

10. Conflict of Interest

In accordance with 2 CFR 200.112, the Recipient must disclose in writing any potential conflicts of interest to the Commission. In addition, 2 CFR 200.318 requires that Recipients maintain written standards of conduct covering conflicts of interest and governing the performance of their employees engaged in the selection, award, and administration of contracts. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

11. Denali Commission Policies

Recipients may be required to comply with certain published Denali Commission policies. Any such requirements under this FAA will be stipulated in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information on individual policies.

12. Laws and Regulations

Recipients are required to comply with all applicable Federal laws and regulations. General categories of potentially applicable laws and regulations are summarized below. Refer to the *Recipient Guidelines and Requirements* document for further information on specific laws and regulations that may apply.

- a. Debarment and Suspension
- b. Whistle-blower Protection
- c. Non-Discrimination
- d. Lobbying and Propaganda
- e. Environmental
- f. Drug-Free Workplace
- g. Travel
- h. Human Rights
- i. Animal Welfare
- j. Executive Compensation

13. Other Provisions

- a. The United States expressly disclaims any and all responsibility or liability to the Recipient or sub-recipients for the actions of the Recipient or sub-recipients resulting in death, bodily injury, property damages, or any other losses resulting in any way from the performance of this FAA, including sub-awards, contracts, or sub-contracts issued in connection with this FAA.
- b. To the maximum extent practicable, considering applicable laws, the Recipient shall accomplish the project contemplated by this FAA using local Alaska firms and labor.
- c. All terms and conditions contained in this FAA apply to any sub-recipient under this FAA.
- d. Failure to comply with the provisions of this FAA or maintain satisfactory performance may result in additional FAA conditions pursuant to 2 CFR 207. This includes but is not limited to: temporarily withholding of payments pending the correction of the deficiency; disallowance of project costs; wholly or partially suspending or terminating the FAA. In addition, failure to comply with the provisions of this FAA may also have a negative impact on the Recipient's eligibility for future Federal awards.

14. Special Provisions

Progress Reports: Quarterly.

Pre-award Costs: n/a.

Key Staff: Kirsten Kolb, ANTHC, Senior Director of Strategy and Innovation

Advance Payments: n/a.

Federal Property Interests and Reporting Requirements: n/a

Denali Commission Policies: n/a

Bonds and Insurance: n/a

15. Program Manager, Financial Manager & Other Contact Information

Denali Commission	Recipient
Don Antrobus, PE Program Manager 510 L Street, Suite 410 Anchorage, AK 99501 Phone : 907-271-3500 Fax : 907-271-1415 E-mail: dantrobus@denali.gov	Kirsten Kolb Project Manager 4000 Ambassador Drive Anchorage, AK 99508 Phone: 907-729-2974 E-mail : kmkolb@anthc.org
Janet Davis Grants Management Officer 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-3036 Fax: 907-271-1415 E-mail: jdavis@denali.gov	Garvin Federenko CFO, Finance 4000 Ambassador Drive Anchorage, AK 99508 Phone : 907- 729-1903 Fax : 907- 729-2890 E-mail : gfederenko@anthc.org

Attachment A
For
FAA #1501 between Denali Commission and ANTHC
Environmentally Threatened Communities Grant Center
9/9/16

SCOPE OF WORK AND DELIVERABLES

General: Provide grant support to rural Alaskan communities experiencing infrastructure impacts associated with environmental threats (flooding, erosion, and melting permafrost). Grant support shall include both identification of funding opportunities, grant application writing, and grant administration support services. Priority shall be given to the 31 communities listed in GAO report 09-551.

Specific Tasks

- Review and become familiar with the following documents:
 - Alaska Native Villages: Limited Progress Has Been Made on Relocating Villages Threatened by Flooding and Erosion (GAO Report, GAO-09-551).
 - Catalog of Federal Resilience Programs for Alaskan Communities, September 2015.
- Hire two full time Grant Writers for the duration of the grant period.
- Develop a monthly newsletter reporting on ETC grant opportunities, application requirements, and schedules.
- Provide direct grant writing services.
- Provide technical assistance to community grant administrators regarding compliance with grant conditions.
- Develop and provide grant management training to local coordinators and grant writers. Submit detailed training plan and budget for review and approval prior to obligating training allowance.
- Provide recommendations for upgrades and/or additions to the Catalog of Federal Resilience Programs.
- Establish measures for evaluating the accomplishments of ETC grant activities.

DELIVERY METHOD

The Recipient shall hire two full time Grant Writers to carry out the tasks defined in the FAA and will subcontract with a content specialist to deliver the training.

The Recipient agrees to cooperate and collaborate with the Denali Commission during the project, and understands that the Commission will be substantially involved in implementing the project or components of the project. Specifically, the Commission will participate in, and/or provide direct assistance during the project related to the following:

- A. The Commission will provide one individual to be a member of the hiring committee established to interview and recommend candidates for hire; and
- B. Review and approve program measures.

PROJECT BUDGET

The budget for the ETC Grant Center is delineated in Table 1 below.

Table 1

GRANT CENTER BUDGET ESTIMATE			
line	Item/Activity	Estimate	Notes
1	Personnel Costs¹		
2	Salary, Grant Writer	\$ 86,100	\$6,150/month x 14 months
3	Salary, Senior Grant Writer	\$ 93,870	\$6,705/month x 14 months
4	Benefits ²	\$ 64,789	36% of direct salaries
5	Subtotal Salaries	\$ 244,759	
6	Training		
7	Grant Management Training Allowance	\$ 30,000	Subcontracted services, venue, food, participant travel support
8	Subtotal Training	\$ 30,000	
9	Supplies		
10	Newsletter Printing and Mailing	\$ 3,500	\$250/month x 14 months
11	Computers	\$ 3,500	2 @ \$1,750
12	Subtotal Supplies	\$ 7,000	
13	Travel		
14	6 Village Trips	\$ 8,050	Includes travel, per diem, lodging at average cost of \$1342/trip
15	Subtotal Travel	\$ 8,050	
16	Indirect Costs³		
17	10.6% Indirect Cost Rate	\$ 30,190	Applied to line 5, 13, 15, and first \$25,000 of line 8
18	TOTAL	\$ 319,999	
	TOTAL ROUNDED	\$ 320,000	
Footnotes			
1. Salary estimates are based on the approximate mid-point of ANTHC's salary range for the established position descriptions. The execution of the grant may vary between 12 and 14 months depending on the actual salary that is negotiated at the time of hire.			
2. 36% is the average cost of benefits (retirement, health care) for all ANTHC employees.			
3. The 10.6% indirect cost rate is based on ANTHC's current IDC rate agreement with DHHS.			

PERFORMANCE PERIOD

The performance period for this award will be approximately 17 months from October 1, 2016 through February 2018. It is anticipated that it will take up to 3 months to recruit and fill the Grant Writer positions. Execution of the scope of work will commence once Grant Writer positions have been filled.

Key milestones are noted below:

- December 31, 2016: Onboard Grant Writers; submit program measures for review.
- January 31, 2017: Publish first monthly newsletter.
- February 28, 2017: Submit training plan defining scope, schedule and budget.
- November 30, 2017: Complete delivery of proposed training.
- December 31, 2017: Provide written recommendations for updates to Catalogue of Federal Resilience programs.